# Wu class - definition\*

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## 1. INTRODUCTION

The Wu class of a manifold M is a characteristic class allowing a computation of the Stiefel-Whitney classes of M by knowing only  $H^*(M; \mathbb{Z}/2)$  and the action of the Steenrod squares.

## 2. Definition

Let M be a closed topological *n*-manifold,  $[M] \in H_n(M; \mathbb{Z}/2)$  its fundamental class,  $Sq^k$  the k-th Steenrod square and

$$\langle , \rangle : H^i(M; \mathbb{Z}/2) \times H_i(M; \mathbb{Z}/2) \longrightarrow \mathbb{Z}/2$$

the usual Kronecker pairing. This pairing, together with the Poincarè duality isomorphism  $a \mapsto a \cap [M]$ , induces isomorphisms

$$\operatorname{Hom}(H^{n-k}(M;\mathbb{Z}/2),\mathbb{Z}/2) \cong H_{n-k}(M;\mathbb{Z}/2) \cong H^k(M;\mathbb{Z}/2),$$

under which the homomorphism  $x \mapsto \langle Sq^k(x), [M] \rangle$  from  $H^{n-k}(M; \mathbb{Z}/2)$  to  $\mathbb{Z}/2$  corresponds to a well defined cohomology class  $v_k \in H^k(M; \mathbb{Z}/2)$ . This cohomology class is called the *k*-th Wu class of M ([4, §11]). We may rewrite its definition equivalently as an identity

(1) 
$$\langle v_k \cup x, [M] \rangle = \langle Sq^k(x), [M] \rangle$$
 for all  $x \in H^{n-k}(M; \mathbb{Z}/2)$ .

Define the total Wu class

$$v \in H^*(M; \mathbb{Z}/2) = H^0(M; \mathbb{Z}/2) \oplus H^1(M; \mathbb{Z}/2) \oplus \dots \oplus H^n(M; \mathbb{Z}/2),$$

as the formal sum

$$v := 1 + v_1 + v_2 + \dots + v_n.$$

Using the total Steenrod square,

$$Sq := Sq^0 + Sq^1 + Sq^2 + \ldots : H^*(M; \mathbb{Z}/2) \longrightarrow H^*(M; \mathbb{Z}/2),$$

equation (1) translates into the following formula

(2) 
$$\langle v \cup x, [M] \rangle = \langle Sq(x), [M] \rangle$$
 for all  $x \in H^*(M; \mathbb{Z}/2)$ ,

which may also be used as a definition of the total Wu class of M.

From the definition it is clear that the Wu class is defined even for a Poincarè complex M.

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<sup>\*</sup>Atlas page :www.map.mpim-bonn.mpg.de/Wu\_class

#### 3. Relation to Stiefel-Whitney classes

From now on all manifolds are supposed to be smooth. The following theorem of Wu Wen-Tsun ([7]) allows a computation of the Stiefel-Whitney classes  $w_i(M)$  of M using only  $H^*(M; \mathbb{Z}/2)$  and the action of the Steenrod squares:

**Theorem 1.** The total Stiefel-Whitney class of M,

$$w = w(M) := 1 + w_1(M) + w_2(M) + \dots + w_n(M),$$

is given by

$$w(M) = Sq(v),$$

or equivalently

$$w_k(M) = \sum_{i=0} Sq^i(v_{k-i}).$$

For a proof see  $[4, \S11]$ . Since Sq is a ring automorphism of

$$H^{**}(X;\mathbb{Z}/2) := \prod_{i>0} H^i(X;\mathbb{Z}/2)$$

 $Sq^{-1}$  is defined on  $H^{**}(M; \mathbb{Z}/2) = H^*(M; \mathbb{Z}/2)$  and we may write  $v = Sq^{-1}(w(M)).$ 

The formula w(M) = Sq(v) may be used to extend the definition of the Stiefel-Whitney classes to the class of topological manifolds.

## 4. An example

The following example is taken from [4, §11]. If  $H^*(M; \mathbb{Z}/2)$  is of the form  $\mathbb{Z}/2[x]/(x^{dm+1})$ , where  $x \in H^d(X; \mathbb{Z}/2)$ ,  $d \ge 1$ ,  $n = d \cdot m$ , for example if  $M = \mathbb{C}P^m$ , then

$$v = (1 + x + x^2 + x^4 + \dots)^{m+1}$$
 and  $w(M) = (1 + x)^{m+1}$ 

with

$$Sq(x) = x + x^2$$
 and  $Sq^{-1}(x) = x + x^2 + x^4 + \dots$ 

#### 5. A GENERALIZATION

The following example is taken from [1]. Let  $\lambda$  be a natural ring automorphism of  $H^{**}(X; \mathbb{Z}/2)$  and  $\Phi_{\xi}$  the Thom isomorphism of a real vector bundle  $\xi$  on X. Define (3)  $\underline{\lambda} = \underline{\lambda}(\xi) := \Phi_{\xi}^{-1} \circ \lambda \circ \Phi_{\xi}(1)$  and  $\operatorname{Wu}(\lambda, \xi) := \lambda^{-1} \circ \underline{\lambda} = \lambda^{-1} \circ \Phi_{\xi}^{-1} \circ \lambda \circ \Phi_{\xi}(1)$ . If  $\lambda = Sq$ , then  $\underline{\lambda} = w$  is the total Stiefel-Whitney classes  $w(\xi)$  of  $\xi$  ([4, §8]) and with  $\xi = \tau M$ , the tangent bundle of X = M, we have  $\operatorname{Wu}(Sq, \tau M) = v$ , the total Wu class of M.

In general  $\xi \mapsto \underline{\lambda}(\xi)$  and  $\xi \mapsto Wu(\lambda, \xi)$  define multiplicative characteristic classes, translating Whitney sum into cup product, i.e. they satisfy a Whitney product type formula

 $\underline{\lambda}(\xi \oplus \eta) = \underline{\lambda}(\xi) \cup \underline{\lambda}(\eta) \text{ and } Wu(\lambda, \xi \oplus \eta) = Wu(\lambda, \xi) \cup Wu(\lambda, \eta).$ 

Such a characteristic class is determined by a power series  $f(x) \in \mathbb{Z}/2[[x]]$ , which is given by its value on the universal line bundle. The generalized Wu class  $\operatorname{Wu}(\lambda, \xi)$ is defined as a commutator class, thus measuring how  $\lambda$  and  $\Phi_{\xi}$  commute. This is

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similar to the situation considered in the (differential) Riemann-Roch formulas, in which the interaction between the Chern character and the Thom isomorphism in K-Theory and rational cohomology is formulated. This relation is more than only formal: Let  $T_i$  be the *i*-th Todd polynomial, then  $2^i \cdot T_i$  is a rational polynomial with denominators prime to 2, hence its reduction to mod 2 cohomology is well defined. Then Atiyah and Hirzebruch proved:

## **Theorem 2** ([1]).

Wu
$$(Sq, \xi) = \sum_{i \ge 0} 2^i \cdot T_i(w_1(\xi), w_2(\xi), \dots, w_i(\xi))$$
 in  $H^{**}(X; \mathbb{Z}/2)$ .

The proof is by comparing the power series belonging to the multiplicative characteristic classes on both sides of the equation, which turn out to be  $x/Sq^{-1}(x) = 1 + \sum_{i>0} x^{2^i}$ .

For a continuous map  $f: M \to N$  between closed differentiable manifolds the analogue of the Riemann-Roch formula is

$$f_!(\lambda(x) \cup \operatorname{Wu}(\lambda^{-1}, \tau M)) = \lambda(f_!(x)) \cup \operatorname{Wu}(\lambda^{-1}, \tau N).$$

Here  $f_!$  is the Umkehr map of f defined by  $f_*$  via Poincarè duality. In the case  $f: M \to *$ , this reduces to  $\langle Wu(\lambda, \tau M) \cup x, [M] \rangle = \langle \lambda(x), [M] \rangle$ , generalizing (2).

## 6. Applications

- (1) The definition of the total Wu class v and w = Sq(v) show, that the Stiefel-Whitney classes of a smooth manifold are invariants of its homotopy type.
- (2) Since the Stiefel-Whitney classes of a closed *n*-manifold determine its unoriented bordism class [6, Théorèm IV.10], a corollary of (1) is: Homotopy equivalent manifolds are un-oriented bordant.
- (3) Inserting the Stiefel-Whitney classes of M for x in

$$\langle v \cup x, [M] \rangle = \langle Sq(x), [M] \rangle,$$

and using  $v = Sq^{-1}(w)$  one gets relations between Stiefel-Whitney numbers of *n*-manifolds. It is a result of Dold ([2]) that all relations between Stiefel-Whitney numbers of *n*-manifolds are obtained in this way.

- 4. Conditions on the Wu classes  $v_s$  for nonbounding manifolds are given in [5].
- 5. For an appearance of the Wu class in surgery theory see [3, Ch. 4].

### **Remarks:**

- 1. Most of the above has analogues for odd primes, e.g. see [1].
- 2. Not directly related to the Wu class is Wu's explicit formula for the action of Steenrod squares on the Stiefel-Whitney classes of a vector bundle  $\xi$  (see [4, §8]):

$$Sq^{k}(w_{m}(\xi)) = w_{k} \cup w_{m} + \binom{k-m}{1} w_{k-1} \cup w_{m+1} + \dots + \binom{k-m}{k} w_{0} \cup w_{m+k}$$
  
where  $\binom{x}{i} = x(x-1) \dots (x-i+1)/i!$ .

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