

## Lens spaces in dimension 3: a history\*

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ABSTRACT. In this article we present the early history of 3-dimensional lens spaces from their first appearance in Tietze's paper (1908) to the late 1930's including the problem of their classification.

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Lens spaces are a particular class of closed orientable 3-manifolds which played an important role in the history of manifolds; they were obtained by identifications on a 2-sphere bounding a 3-ball or by Heegard's method using tori. The Heegard splitting is of genus one, that is the reason why lens spaces are rather simple 3-manifolds.

The first mathematician who mentioned lens spaces - this name wasn't introduced until 1931 (cf. below) - was W. Dyck. He did this in a talk delivered to the British Association for the Advancement of Science held in Montreal 1884 ([3, 110]). After describing the construction of 3-manifolds by identifying homeomorphic surfaces of handle bodies - today known as Heegard diagrams - in a rather general way, Dyck gave two examples. Take two solid tori and define the identifications of their surfaces by fixing the images of the meridians and the latitudinal curves of the first torus on the other. Two possibilities occur:

*"First, ..., we can make them correspond such that meridian curves fall on meridian curves, and latitudinal curves into latitudinal curves."* ([3, 648])

The other possibility is to map meridians to latitudinal curves and vice versa. Dyck observed that in the first case they are closed curves in the resulting 3-manifold which are not null-homotopic whereas such curves don't exist in the second case. In modern notation, Dyck's first example is the lens space  $L(0, 1)$  (the product  $S^1 \times S^2$ ), the second is nothing but  $S^3$ . Dyck didn't publish more about his ideas; he gave them up in favour of a more combinatorial approach on which he published two more papers ([4],[5]) before leaving topology completely. The history of the 3-sphere and of projective space which both can be considered as lens spaces are discussed in separate articles. Therefore they are not considered further here.

In 1908, H. Tietze discussed lens spaces in length; their importance lay in the fact that they are rather simple: *"ein in gewisser Hinsicht möglichst einfacher Typus von zweiseitigen geschlossenen dreidimensionalen Mannigfaltigkeiten"* <sup>2</sup> ([15]). The space  $(l, \lambda)$  (we will use the modern symbol  $L(l, \lambda)$  for it) is defined as follows: Take a 3-ball bounded by a 2-sphere. The equator of this sphere is divided into  $l$  equal parts. Let  $\phi$  denote the length of the points on the upper half-sphere,  $\vartheta$  their

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<sup>2</sup>Translation: *from a certain point of view, the simplest possible type of two-sided three-dimensional closed manifolds*

latitude;  $\phi'$  and  $\vartheta'$  denote the same quantities on the lower half-sphere. Then the identification is given by

$$\phi' = \phi + \frac{2\pi\lambda}{l}, \quad \vartheta' = -\vartheta.$$

So the identification is made by rotating the upper halfsphere by the angle  $\frac{2\pi\lambda}{l}$  and then identifying points on the same meridian which are equidistant to the equator. Obviously the rotation by  $\pi$  yields the projective space; in this case  $L(l, \lambda)$  is  $L(2, 1)$ . The only interesting cases are those in which  $\lambda$  and  $l$  have no common divisor.

Using the decomposition in cells defined by this identification Tietze was able to calculate the fundamental group of the lens space  $L(l, \lambda)$ : it is the cyclic group with  $l$  elements, in particular it does not depend on  $\lambda$ . The first Betti number is zero but there is a torsion coefficient equal to  $l$ . By duality the second Betti number of the lens spaces can be calculated.

Tietze remarked that lens spaces can also be constructed by identifying the surfaces of two solid tori (as Dyck did it) and as branched covers of  $S^3$  (with ramification points). This idea is attributed to [W. Wirtinger](#), his teacher; traces of it can be found in Heegard's dissertation (1898)([6, 117sq], Chapter 8.2, Chapter 8.3).

Like [Poincaré](#), Tietze was interested in classifying 3-manifolds. He asked himself the question whether the known invariants (the fundamental group, the Betti numbers, the torsion coefficients) suffice to determine such a manifold up to homeomorphism. He conjectured a negative answer after analyzing the structure of the two lens spaces  $L(5, 1)$  and  $L(5, 2)$ :

*“Die eben angestellte Betrachtung der Mannigfaltigkeiten [5,1] und [5,2], die beide die zyklische Gruppe 5. Ordnung zur Fundamentalgruppe haben, zeigt, daß gewisse Anordnungsverhältnisse der Schemata auch in der Fundamentalgruppe nicht zum Ausdruck kommen.”*<sup>3</sup> ([15])

This conjecture was proven by [J. W. Alexander](#) in 1919 [1]. Alexander used Heegard's diagrams to get the lens space; during a stay in Paris he was assigned the task to check the details of the French translation of Heegard's dissertation. Alexander wrote:

*“It is proposed to set up an example of two 3-dimensional manifolds which are by no means equivalent but which cannot even be differentiated by their groups.”* ([1, 339])

By a difficult analysis of the geometric situation concerning 1-cycles, Alexander derived the result he needed (for more details c.f. [12, 258-260]). This approach was systematized by Alexander himself some years later, the result was the theory of *Eigenverschlingungszahlen* which was refined by [H. Seifert](#) ([11]). But even these invariants were not able to distinguish  $L(7, 1)$  and  $L(7, 2)$ . The classification of lens spaces became an important question which wasn't answered until the 1930s in the work of Seifert and [Threlfall](#) and in the work of [Reidemeister](#) and Franz. In particular the idea of the lens shape will appear only in the paper [13].

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<sup>3</sup>Translation: “The previous description of the manifolds [5, 1] and [5, 2], which both have fundamental group the cyclic group of order 5, shows that certain structural relations in the construction of these spaces are not visible in the fundamental group.”

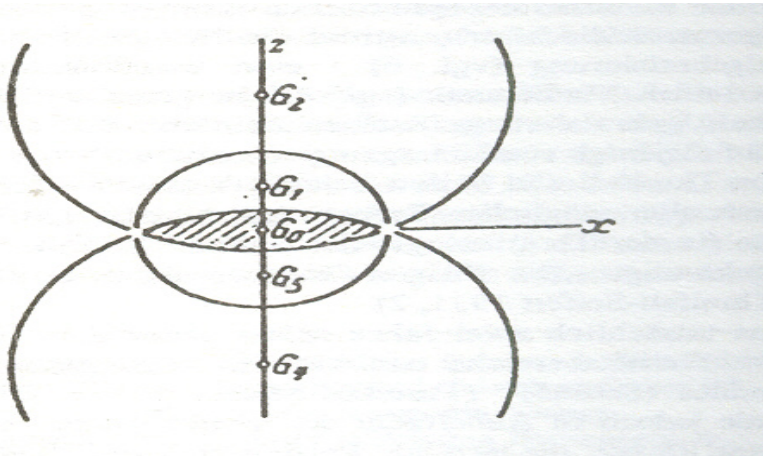


FIGURE 1. The lens shape [13, 58]

In their first joint paper ([13]) Seifert and Threlfall studied only a special type of lens space, today noted by  $L(p, 1)$ . Those spaces were obtained as orbit spaces using the operation of a finite cyclic subgroup of  $SO(4)$  of order  $p$  on  $S^3$  ([16], [17]). The fundamental domain of that subgroup is the intersection of certain balls - their boundaries are symmetry planes in the sense of spherical geometry - which all pass through a circle. Threlfall and Seifert explain the situation in Figure 1.

The acute edge of the lens is nothing but the circle through which all spheres pass. It is subdivided in  $p$  equal parts, the identification of the lower and the upper half of the lens is provided by a screw motion. In their second joint work ([14, 551]) the authors also gave an interpretation of the general lens spaces  $L(p, q)$  by reducing the question about the finite subgroups of  $SO(4)$  to that of finite subgroups of  $SO(3)$  using the epimorphism

$$SO(4) \rightarrow SO(3) \times SO(3)$$

due to [8].

In their second paper Threlfall and Seifert also proved a theorem which is a partial solution to the classification problem of lens spaces: The lens spaces  $L(p, q)$  and  $L(p, q')$  are not homeomorphic if  $q \cdot q' \not\equiv \pm 1 \pmod{p}$ . If they are homeomorphic then  $q' \equiv \pm q \cdot x^2 \pmod{p}$  has a solution (cite).

The classification problem for lens spaces was completely solved by K. Reidemeister, who proved that the sufficient condition given by Seifert and Threlfall is also necessary ([10]); actually Reidemeister worked in the combinatorial frame, in order to extend his result to homeomorphism the answer to the [[Hauptvermutung]] was necessary. The method used by Reidemeister ([9]) was generalized by W. Franz ([7]) by introducing the now so-called Reidemeister-Franz torsion.

J.H.C. Whitehead solved in 1941 the classification problem for lens spaces up to homotopy equivalence: Two lens spaces  $L(p, q)$  and  $L(p, q')$  are homotopy equivalent if and only if there is a natural number  $x$  such that  $q \cdot q' \equiv x^2 \pmod{p}$  ([18]).

## 1. EXTERNAL LINKS

The Wikipedia page about [Lens spaces](#).

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